# This Page Is Inserted by IFW Operations and is not a part of the Official Record

# **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

# IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

OBLON, SPIVAK, ET AL Serial No: 09/840,178 Attachment 1 OF.3

Title #PRA1130

High Temperature Electro-insulating Materials (silicones)

#### **Short Title**

High Temperature Electro-insulating Materials

#### Technology Area

Ceramics / MAT-CER

#### **Person for Contact**

V.V. Severnyi

A. Kuleshov 38, sh. Entuziastov, 111123, Moscow, Russia

Tel: 095-273-79-13 Fax: 095-273-71-26

#### Research Institute Where the Above Persons Belong

State Scientific Research Institute of Chemistry and Tecnology of Organoelement Compounds (GNIIKhTEOS)

#### 1. Present Status of Research

Fundamentals for the development of high-heatconducting composites on the ground of synthetic polymer binders and heatconducting disperse inorganic fillers have been developed. A complex of silicone high-heatconducting materials have been obtained on the basis of the developed principles. The complex involves:

- -non-drying pastes
- -elastomeric compositions, vulcanizing at room temperature (RTV) of two types:
- RTV-I and RTV-II.

Heat conductivity of the proposed materials significantly out performs similar materials offered at the market. The properties of the available at the market materials and those proposed by us are presented in the Table attached. The properties of the promising materials are presented therewith. The achievement of these properties will require additional funding.

#### Application:

electronics, electrotechnics, tool, and machine-building.

#### Purpose:

- good heat removal due to high heat conduction of materials;
- improvement of thermal contact in heat releasing element-radiator system;
- electroinsulation;

- sticking (bonding) and installation of electroradio elements and printed circuits (in the event of use of RTV-1 and RTV-2 materials);
- encapsulation and sealing of parts of devices (RTV-2) for protection against diversified factors;

#### Provide:

- optimal thermal regimes of devices and instruments function due to high heat removal;
- reliable electric insulation of device parts;
- technologically effective mounting of parts of devices and instruments:
- maintenance;
- improvement of characteristics of weight and overall dimensions;
- improvement of quality and reliability.

#### Performances:

- temperature range from -60°C to +150°C (pastes) -60°C to +200°C 250°C (elastomers RTV-1,RTV-2)
- humidity and weather resistance;
- biological and chemical inert;
- corrosion passivity;
- vibration-proof;
- high adhesion to metals, glass ceramics (in event RTV-1 and RTV-2).

As there is a number of know-how no results of this research have been published.

Cooperation with foreign partners is possible in the following directions:

- 1. Transfer of know-how of licenses for the production of available silicones. In the framework of the Agreement the buyer can be provided with basic raw materials of required quality.
- 2. Joint research concerning the production of materials with properties similar or better than those shown in the table as promising ones.

Estimated cost of such investigations is \$ 100,000-120,000.

The results can be jointly patented. Joint research regarding high-heat-conductive materials on the ground of organic (silicone-free) binders of various classes which are interesting for the Customer. Cost of the development and the possibility for joint patenting are to be discussed in the process of further contacts. An experimental base for the foregoing research including high-precision instruments for the determination of heat-conductivity coefficient  $\lambda$  (w/m deg K) in a wide temperature range and test materials consistency.

#### Comparative characteristics of heat-conduction for some type of silicon heat conductive

materials manufacturing by different companies

Country,	Material	Brand	Heat
company	type		conductive

			λ w/m deg K
Russia	non-drying	available	1.8-2.0
		perspective	2.2-2.3
	RTV-1	available	1.8-2.0
		perspective	2.2-2.3
	RTV-2 paste	available	1.7-1.8
		perspective	1.9-2.0
	RTV-2 potting	available	0.8-1.0
		perspective	1.2-1.4
USA			
Dow Corning	non-drying	DC 340	0.42
	RTV-1	96-080 RTV	0.8
Emmerson and	non-drying	EccotermTC-4	1.3
Cuming Inc.	RTV-2 paste	Fanni 14052	1.07
	K1 V-2 paste	Eccosil 4952 Eccosil 4852	1.07 1.15
		Eccosil TP-51	1.25
Cormony			
Germany Wacker	non-drying	P 12	0.8
	RTV-1	SLM 76247	1.2
	RTV-2 paste	Semicosil 980 TC	0.9
		Silgel 674	1.2
	RTV-2 potting	RTV-ME 675	1.2
		RTV-ME 676	0.8
apan			
Γoshiba	non-drying	YG-6111	0.63
			0.05
		YG-6260	0.84



OBLON, SPIVAK, ET AL Serial No: 09/840,178 Attachment 2 OF 3

#### **Technical Data Sheet**

### STYCAST® 4952

# Thermally Conductive, RTV Silicone Encapsulant

Internet Address: www.emersoncuming.com

Key Feature:	Benefit:		
<ul> <li>High thermal conductivity</li> </ul>	Dissipation of heat from embedded components		
<ul> <li>High temperature resistance</li> </ul>	Casting can survive severe environmental conditions		

#### **Product Description:**

STYCAST 4952 is a RTV condensation cure, thermally conductive, silicone rubber potting compound. It yields a flexible, thermally conductive material having excellent electrical properties and high temperature resistance. STYCAST 4952 is readily pourable and is room temperature curable.

#### Applications:

STYCAST 4952 is designed for potting and encapsulation of components that require the dissipation of heat and the high temperature properties and low stress of a silicone compound.

#### Instructions For Use:

Thoroughly read the information concerning health and safety contained in this bulletin before using. Observe all precautionary statements that appear on the product label and/or contained in individual Material Safety Data Sheets (MSDS).

To ensure the long term performance of the potted or encapsulated electrical / electronic assembly, complete cleaning of components and substrates should be performed to remove contamination such as dust, moisture, salt, and oils which can cause electrical failure, poor adhesion or corrosion in an embedded part.

This RTV silicone product is based on condensation cure chemistry and will cure in contact with most materials without cure inhibition. This product is not recommended for use in closed molds or sealed molds which could prevent its exposure to moisture or the escape of reaction by-products

required to complete the cure. In addition, catalysts used to cure this product may cause corrosion of copper and other sensitive metals.

Some filler settling is common during shipping and storage. For this reason, it is recommended that the contents of the shipping container be thoroughly mixed prior to use. Power mixing is preferred to ensure a homogeneous product.

Accurately weigh the liquid RTV silicone and catalyst into a clean container in the recommended ratio. To facilitate the addition of catalyst, the use of a medicine dropper which has been previously calibrated to determine the number of drops per gram is recommended. Working life and cure time are shortened as the amount of catalyst is increased. Low catalyst concentrations are recommended for applications requiring thick sections or use at temperatures in excess of 125°C.

Blend components by hand, using a kneading motion, for 2-3 minutes. Scrape the bottom and sides of the mixing container frequently to produce a uniform mixture. If possible, power mix for an additional 2-3 minutes. Avoid high mixing speeds which could entrap excessive amounts of air or cause overheating of the mixture resulting in reduced working life.

To ensure a void-free embedment, vacuum deairing should be used to remove any entrapped air introduced during the mixing operation. Vacuum deair mixture at 1-5 mm mercury. The foam will rise several times the liquid height and then subside. Continue vacuum deairing until most of the bubbling has ceased. This usually requires 3-10 minutes.

In general, silicone materials exhibit outstanding release properties and will not adhere to most substrates. If adhesion is required, apply a thin, uniform coating of PRIMER S 11 to the desired clean, dry substrates. Allow the PRIMER S 11 to dry for 30-60 minutes at room temperature before applying this silicone material.

Pour mixture into cavity or mold. Further vacuum deairing in the mold may be required for critical applications.

#### **Properties of Material As Supplied:**

Property	Test Method	Unit	STYCAST 4952 - Part A	Catalyst 50
Chemical Type		<u> </u>	Silicone	Silicone catalyst
Appearance	Visual		Red liquid	Clear liquid
Density	ASTM-D-792	g/cm³	2.20	
Brookfield Viscosity	ASTM-D-2393	Pa.s	35	0.085
2.22	i l	сP	35,000	85

#### Properties of Material As Mixed:

Property	Test Method	Unit	Valu
Mix Ratio - Amount of Catalyst 50 per	100 parts of STYCAST 4952	By Weight	0.1 - 0.4
Working Life (100 g @ 25°C)	ERF 13-70		60
Density	ASTM-D-792	g/cm³	2.20
Brookfield Viscosity	ASTM-D-2393	Pa.s	35
•		сР	35,000

#### Cure Schedule:

Cure at any one of the recommended cure schedules. Where use at temperatures above 125°C is anticipated, a post cure schedule of 1-2 hours at 25-30°C increments up to the highest expected use temperature is recommended to properly condition the silicone rubber.

Temperature (°C)	Cure Time (hours)
25	16-24
65	2-4

#### **Properties of Material After Application:**

Property	Test Method	Unit	Value
Hardness	ASTM-D-2240	Shore A	70
Tensile Strength	ASTM-D-412	mPa	4.5
		psi	650
Elongation	ASTM-D-412	%	70
Tear Strength	ASTM D-624	N/m	4,400
		pli	25
Coefficient of Thermal Expansion	ASTM-D-3386	10 <sup>-6</sup> /°C	162
Thermal Conductivity	ASTM-D-2214	W/m.K	1.0
<u> </u>		Btu-in/hr-ft²-°F	7.0
Temperature Range of Use		°C	-65 to +260
Dielectric Strength	ASTM-D-149	kV/mm	21.7
		V/mil	550
Dielectric Constant @ 1 mHz	ASTM-D-150	-	5.2
Dissipation Factor @ 1 mHz	ASTM-D-150	-	0.01
Volume Resistivity @ 25°C	ASTM-D-257	Ohm-cm	>10 <sup>14</sup>

#### Storage and Handling:

The shelf life of STYCAST 4952 is 4 months at 25°C. For best results, store in original, tightly covered containers. Storage in cool, clean and dry areas is recommended. Usable shelf life may vary depending on method of application and storage conditions.

#### Health and Safety:

The STYCAST 4952, like most industrial compounds, possesses the ability to cause skin and eye irritation upon contact. Handling this product at elevated temperatures may also generate vapors irritating to the respiratory system.

Good industrial hygiene and safety practices should be followed when handling this product. Proper eve protection and appropriate chemical resistant clothing should be worn to minimize direct contact. Consult the Material Safety Data Sheet (MSDS) for detailed recommendations on the use of engineering controls and personal protective equipment.

This information is only a brief summary of the available safety and health data. Thoroughly review the MSDS for more complete information before using this product.

#### **Attention Specification Writers:**

The values contained herein are considered typical properties only and are not intended to be used as specification limits. For assistance in preparing specifications, please contact Emerson & Curning Quality Assurance for further details.

Underfills Solder Alternatives C.O.B. Materials

Film Adhesives Thermal Interfaces

Europe Nijverheidsstraat 7 B-2260 Westerlo

**2** : +(32)-(0) 14 57 56 11 Fax: +(32)-(0) 14 58 55 30

North America 46 Manning Road Billerica, MA 01821

**3**:978-436-9700 Fax: 978-436-9701

© 2001 Emerson & Cuming 1755-4952/06-01

Encapsulants Coatings Adhesives Electrically Conductive Coatings and Adhesives

> Asia-Pacific 100 Kaneda, Atsugi-shi Kanagawa-ken, 243-0807 Japan

**2** : (81) 46-225-8815 Fax: (81) 46-222-1347

### STYCAST® 4952

### Two Component, RTV Silicone Rubber With Superior Thermal Conductivity

Key Feature	Benefit
<b>L</b>	

#### Product Description:

STYCAST 4952 is a red pourable two component RTV sricone based composition that has a higher thermal conductivity than the more conventional sticones. It may be cured at room temperature or at elevated temperature to a flexible alloone nubber capable of withstanding exposure to temperatures of 260°C and up to 315°C for short periods. STYCAST 4952 is a 100 % reactive material. No solvents are contained therein.

#### Applications:

STYCAST 4952 bonds well to most other fillcones. Where a bond to other substrates is desired, apply a thin coat of PRIMER S 11 to the substrate and allow if to dry for 30 - 90 minutes. Low humidity conditions may require longer drying times. When dry, apply the STYCAST 4952.

STYCAST 4852 is particularly recommended for potting and encapsulating components from which heat is to be displaced.

#### Instructions For Use :

- Prior to adding catalyst, thoroughly mix tha STYCAST 4952 in the container in which it is neceived to insure uniformity. On long standing, there will be some tendency for the faller to settle to the bottom.
- Weigh out the cabired amount of STYCAST 4952, and add CATALYST 50 in the ratio of 0,2 g of Catalyst to 100 g of STYCAST 4952. The range may be varied from 0,1 g to 0,6 g with the rate of cure increasing somewhat with increasing amount of catalyst.
- amount of catalyst.

  3. Mix the CATALYST 50 into the STYCAST 4952.
  Power stirring is preferred. Pot life is 1 to 4 hours depending on the amount of catelyst used.
- 4. Design under vacuum to insure a void free casting. A recommended procedure is to evacuate until the head of bubbles breaks. Break the vacuum and remove the container Mix a second time, while screping the resign max from the sides of the container. Evacuate a second time.
- 5. Pour into cavity to be fitted. If the cavity is complex and contains small gaps to be fitted, it is suggested that it be half fitted and again subjected to vacuum. On removal from the vacuum chamber, the cavity is completely filled and the resin is allowed to cure.
- 6. Cure can be effected by allowing to stand at room temperature overnight. The unit can then be handled. Full properties will develop in 5 8 days at room temperature. Cure time may be shortened by curing initially 4 nours at 65°C. A post cure of 3 hours at 120°C as recommended. (Where service temperatures above 120°C are anticipated, use a longer cure at low temperature e.g. 48 hours at 65°C followed by 4 nours each at 30°C increments up to service temperature).

#### Properties Of Material As Supplied:

Property	Test Method	Unit	Value
Chemistry			sticone
Appearance	Visual		
Density	A5TM-D-792	O/CITE <sup>3</sup>	79d
Viscosity at 25°C	ASTM-D-2393	Pas	30 - 40



**Technical Data** 

Page 1 of 3

#### Cure Schedule :

Please refer to the instructions for use above

### Properties Of Material After Application :

Property	Test Method	Unit	Value
Hardness	ASTM-D-2240	Shore A	
Compressive Strength	ASTM-D-695	MPa	85 minimum
Electic Modulus, Compressive	ASTM-D-685	MPa	TBD
Flexural Strength	ASTM-D-790	MPa	TBO
Flexural Modulus	ASTM-0-780	MPa	TED
Tensile Strength	ASTM-D-638		TBD
Impact Strength	ASTM-D-266	MPa	4-5
Thermal Conductivity	ASTM-D-2214	J/cm	TBD
Coefficient Of Linear Thermal Expansion		W/m.K	0,94
Linear Shrinkage During Cure	ASTM-D-3388	10° K	1,6
Volume Resistivity	ASTM-0-2566	96	THO
	ASTM-D-257	_	
Dielectric Constant	ai 25°C	Ohm.em	TBD
at 1 MH2			
Dissipation Factor	ASTM-D-150		5,2
at 1 MHz	1		
oss Tangent at 1 MHz	ASTM-D-150		TBD
Dielectric Strength	ASTM-D-180		0,01
Agisture Absorption in 24 Hours	ASTM-D-149	kY/mm	21.6
Ascrinability	ASTM-D-670	%	TED
			TBD
ervice Temperature	ASTM-D-794		TBD
ongation	ASTM-D-412	76	70 100
ear Strength	A8TM-D-824	N/m	750 minimum

#### Storage And Handling:

Store STYCAST 4962 in well sealed, closed containers at temperatures between 18°C and 25°C

Storage Temperature (*C)	Usable Shelf Life (months)
18 to 25	- 6

Europe Nijwirmose; uni 7 B-2200 Wissorio Begium Se +(22)-(0| 14 57 56 11 Fan: +(22)-(0| 14 58 56 30

Morth America as Manning Rosel beence, MA 01821 a 800-832-4823 a (978) 436-6760 Fee: (978) 436-6761 Asio-Pacific 100 Karada, Atauji en Kuringasso-min, 203 Japan R (81) 462-23-8815 Fux. (81) 462-22-1167

ANTENA, BRADO MARIA AN PERCENTIANO DE PARRIMONIOS D

### STYCAST® 4952

## Two Component, RTV Silicone Rubber With Superior Thermal Conductivity

#### Health & Bafety :

It is recommended to consult the Emerson & Cuming product iterature, including material safety data sheets, prior to using Emerson & Curring products. These may be obtained from your local sales office.

#### Attention Specification Writers:

The technical information contained herein is consistent with the properties of the material and ahould not be used in the preparation of specifications, as it is intended for reference only. For assistance in preparing specifications, please contact your local Emercin 8 Cuming office for details. Please contact Emercin & Cuming Quality Assurance for test method details.

(STYCAST<sup>®</sup> is a registered trademark of National Starch and Chemical Company)

(STYCAST 4952 was previously called ECCOSIL 4952)

E29/09/99-RVH

(07248)



**Technical Data** 

Page 3 of 3